

Please type a plus sign (+) inside this box ☐

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PTO/SB/21 (6-99)

Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Patent Number</b>	6,956,108	
	<b>Issue Date</b>	October 18, 2005	
	<b>First Named Inventor</b>	GODDARD, ET AL.	
	<b>Group/Art Unit</b>	1646	
	<b>Examiner Name</b>	Jiang, Dong	
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b>	39780-2730P1C20

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> <b>Fee Transmittal Form</b> <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Version with Markings Showing Changes <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> <b>Petition Pursuant to 37 CFR 1.324 Correction of Inventorship in an Issued Patent</b> <input checked="" type="checkbox"/> <b>Executed Statement of Omitted Inventor under 37 CFR 1.324(b)(1)</b> <input checked="" type="checkbox"/> <b>Executed Statement by Assignee under 37 CFR 1.324(b)(3)</b> <input checked="" type="checkbox"/> <b>Executed Statement of Currently Named Inventors under 37 CFR 1.324(b)(2)</b> <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> <b>Additional Enclosure(s) (please identify below):</b> stamped return postcard
<b>Remarks</b>  <b>AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 FOR ANY FEES DUE IN CONNECTION WITH THIS PAPER (Ref. Atty. Docket No. 39780-2730P1C20).</b>		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT		
Firm or Individual name	DAPHNE REDDY, REG. NO. 53,507, HELLER EHRMAN, LLP	
Signature	<i>Daphne Reddy</i>	
Date	SEPTEMBER 6, 2006	Customer Number: 35489

CERTIFICATE OF EXPRESS MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: Mail Stop <b>PETITIONS</b> , Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this date: <b>SEPTEMBER 6, 2006</b>		
Express Mail Label EV 582 624 026 US		
Typed or printed name	C. ROGERS	
Signature	<i>C. Rogers</i>	Date: SEPTEMBER 6, 2006

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop \_\_\_, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

COMBINED FEE TRANSMITTAL								Complete if Known							
<div>SEP 06 2006</div> <div>Effective 12/28/2004. Patent fees are subject to annual revision.</div> <div>37 CFR 1.17 (12-04) (Revised) (For payment of 37 CFR 1.17 fees including (f), (g), (h), &amp; (i))</div> <div><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</div> <div>TOTAL AMOUNT OF PAYMENT (\$130.00)</div>								Patent Number 6,956,108							
								Issue Date October 18, 2005							
								First Named Inventor GODDARD, et al.							
								Examiner Name Jiang, Dong							
								Art Unit 1646							
								Attorney Docket No. 39780-2730P1C20							
METHOD OF PAYMENT (check one)								FEE CALCULATION (continued)							
<div><input type="checkbox"/> Check</div> <div><input type="checkbox"/> Credit card</div> <div><input type="checkbox"/> Money Order</div> <div><input type="checkbox"/> Other</div> <div><input type="checkbox"/> None</div> <div><input checked="" type="checkbox"/> Deposit Account</div>								4. PETITION FEES UNDER 37 CFR 1.17 (f) Fee Code: 1462 Fee \$ 400 For petitions filed under: § 1.53(e); § 1.57(a); § 1.182; § 1.183; § 1.378(e); § 1.741(b)							
Deposit Account Number: 08-1641 (Atty. Docket No. 39780-2730P1C20)								5. PETITION FEES UNDER 37 CFR 1.17 (g) Fee Code: 1463 Fee \$ 200 For petitions filed under: § 1.12; § 1.14; § 1.47; § 1.59; § 1.103(a); § 1.136(b); § 1.295; § 1.296; § 1.377; § 1.550(c); § 1.956; § 5.12; § 5.15; § 5.25							
Deposit Account Name: Heller Ehrman LLP								6. PETITION FEES UNDER 37 CFR 1.17 (h) Fee Code: 1464 Fee \$ 130 For petitions filed under: § 1.19(g); § 1.84; § 1.91; § 1.102(d); § 1.138(c); § 1.313; § 1.314							
The Commissioner is authorized to: (check all that apply)								7. PROCESSING FEES UNDER 37 CFR 1.17 (i) Fee Code: 1808 (1803 for § 1.221) Fee \$ 130 For petitions filed under: § 1.28(c)(3); § 1.41; § 1.48; § 1.52(d); § 1.53(b)(3); § 1.55; § 1.99(e); § 1.103(b); § 1.103(c); § 1.103(d); § 1.217; § 1.221; § 1.291(c)(5); § 1.497(d); § 3.81							
<div><input checked="" type="checkbox"/> Charge fee(s) indicated below</div> <div><input checked="" type="checkbox"/> Credit any overpayments and charge any deficiencies</div> <div><input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application</div> <div><input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the deposit account</div>								8. OTHER FEES							
FEE CALCULATION								Entity Fee (\$)							
1. BASIC FILING, SEARCH, AND EXAMINATION FEES								Entity Fee (\$)							
FILING FEES								Fee Description							
SEARCH FEES								Fee Paid							
EXAMINATION FEES															
Applicati on Type															
Entity Fee (\$)															
Small Entity Fee (\$)															
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Small Entity Fee (\$)															
Fees Paid (\$)															
Utility								130							
Design								65							
Plant								25							
Reissue								130							
Provisional								130							
SUBTOTAL (1)								2,520							
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								920*							
								920*							
								1,840*							
								1,840*							
								120							
								60							
								450							
								225							
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								1,590							
								795							
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								750							
								50							
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								790							
								395							
								790							
								395							
								900							
								900							
								Other fee (specify)							
								SUBTOTAL (4+5+6+7+8)							
								\$ 130.00							
								* Reduced by Basic Filing Fee Paid							
3. APPLICATION SIZE FEE															
If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof (round up to the whole number). See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s)															
Total Sheets								Number of each additional 50							
Extra Sheets								Fee (\$)							
-100 =								x 250							
/50 =								OR x 125							
SUBTOTAL (3)								\$ 0							
SUBMITTED BY								Complete (if applicable)							
Name (Print/Type) DAPHNE REDDY								Registration No. 53,507							
Signature Daphne Reddy								Telephone 650 324-7000							
								Date SEPTEMBER 6, 2006							
								Customer No. 35489							



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent No.: 6,956,108 ) Examiner: Jiang, Dong  
Issued: October 18, 2005 ) Art Unit: 1646  
Title: **PRO1184 ANTIBODIES** ) Attorney's Docket No. 39780-2730P1C20  
Inventors: Desnoyers, et al. ) **Customer No. 35489**

EXPRESS MAIL LABEL NO. EV 582 624 026 US

Date Mailed:

**PETITION PURSUANT TO 37 C.F.R. § 1.324, CORRECTION OF  
INVENTORSHIP IN AN ISSUED PATENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

This Petition seeks to add Ellen Filvaroff as a joint inventor in the above-identified patent. Ms. Filvaroff was inadvertently and without deceptive intent not included as a joint inventor in the above-identified patent. Enclosed are the following documents in support of this Petition:

- (a) a statement signed by Ms. Filvaroff indicating that the error in inventorship in the above-referenced patent occurred without deceptive intent on her part;
- (b) a statement by the currently named inventors that they agree to the requested change;
- (c) a statement by Genentech, Inc., assignee of the entire title, right and interest in the above-captioned patent, agreeing to the requested change of inventorship; and
- (d) the fee set forth in 37 C.F.R. § 1.120(b) (\$130.00).

09/11/2006 HVUDNG1 00000056 081641 6956108

01 FC:1464 130.00 DA

**Petition Under 37 C.F.R. §1.324**  
**Patent No. 6,956,108**  
**Attorney's Docket No. 39780-2730P1C20**

Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. **08-1641 (Attorney's Docket No. 39780-2730P1C20)**. Please direct any calls in connection with this application to the undersigned at the number provided below.

Respectfully submitted,

Date: Sept 6, 2006

Daphne Reddy  
Daphne Reddy  
Reg. No. 53,507

**HELLER EHRMAN LLP**  
275 Middlefield Road  
Menlo Park, California 94025-3506  
Telephone: (650) 324-7000  
Facsimile: (650) 324-0638

SV 2197213 v1  
4/4/06 4:02 PM (39780.2730)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent No.: 6,956,108	) Examiner: Jiang, Dong
	)
Issued: October 18, 2005	) Art Unit: 1646
	)
Title: <b>PRO1184 ANTIBODIES</b>	) Attorney's Docket No. 39780-2730P1C20
	)
Inventors: Desnoyers, et al.	) <b>Customer No. 35489</b>
	)

EXPRESS MAIL LABEL NO. EV 582 624 026 US  
Date Mailed:

STATEMENT BY ASSIGNEE UNDER 37 C.F.R. § 1.324(b)(3)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

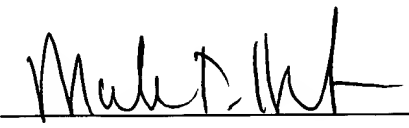
Dear Sir,

The undersigned is empowered to act on behalf of the assignee below (the "Assignee"). The assignment is recorded at Reel 012176 and Frame 0450. This assignment represents the entire chain of title of this invention from the Inventor(s) to the Assignee.

The undersigned hereby states that Assignee agrees to the correction of the inventorship of the above-identified patent to include Ellen Filvaroff as a joint inventor.

Respectfully submitted,

Date: \_\_\_\_\_

  
\_\_\_\_\_

Title:

Address: 1 DNA Way  
South San Francisco, CA 94080

SV 2197218 v1  
4/4/06 3:47 PM (39780.2730)

**Mark T. Kresnak, Ph.D.**  
Associate General Counsel-Patent Law  
Genentech, Inc.  
Authorized Corporate Signatory



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent No.: 6,956,108	) Examiner: Jiang, Dong
	)
Issued: October 18, 2005	) Art Unit: 1646
	)
Title: <b>PRO1184 ANTIBODIES</b>	) Attorney's Docket No. 39780-2730P1C20
	)
Inventors: Desnoyers, et al.	) <b>Customer No. 35489</b>
	)

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EXPRESS MAIL LABEL NO. EV 582 624 026 US

Date Mailed:

**STATEMENT OF OMITTED INVENTOR UNDER 37 C.F.R. § 1.324(b)(1)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

I, Ellen Filvaroff, hereby state that I was omitted as an inventor in the above patent. I further state that this omission is due to an error which occurred without any deceptive intention on my part.

Respectfully submitted,

Date:

4/10/06

Ellen Filvaroff  
Ellen Filvaroff

SV 2197249 v1  
4/4/06 3:54 PM (39780.2730)

Statement Under 37 C.F.R. §1.324(b)(1)  
Patent No. 6,956,108  
Attorney's Docket No. 39780-2730P1C20



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent No.: 6,956,108 ) Examiner: Jiang, Dong  
Issued: October 18, 2005 ) Art Unit: 1646  
Title: **PRO1184 ANTIBODIES** ) Attorney's Docket No. 39780-2730P1C20  
Inventors: Desnoyers, et al. ) Customer No. 35489

EXPRESS MAIL LABEL NO. EV 582 624 026 US  
Date Mailed:

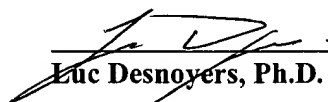
**STATEMENT OF CURRENTLY NAMED INVENTORS**  
**UNDER 37 C.F.R. § 1.324(b)(2)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

We, Luc Desnoyers, Audrey Goddard, Paul J. Godowski, Austin Gurney and William I. Wood, the currently named joint inventors in the above-identified patent, hereby state that we agree to the addition of Ellen Filvaroff as an inventor, as requested in a petition to correct inventorship pursuant to 35 U.S.C. § 256.

Respectfully submitted,

  
Luc Desnoyers, Ph.D.

04/10/2006  
Date

\_\_\_\_\_  
Audrey Goddard, Ph.D.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Paul J. Godowski, Ph.D.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Austin Gurney, Ph.D.

\_\_\_\_\_  
Date

\_\_\_\_\_  
William I. Wood, Ph.D.

\_\_\_\_\_  
Date

SV 2197221 v1  
4/4/06 3:48 PM (39780.2730)

Statement Under 37 C.F.R. §1.324(b)(2)  
Patent No. 6,956,108  
Attorney's Docket No. 39780-2730P1C20



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent No.: 6,956,108

) Examiner: Jiang, Dong

Issued: October 18, 2005

) Art Unit: 1646

Title: **PRO1184 ANTIBODIES**

) Attorney's Docket No. 39780-2730P1C20

Inventors: Desnoyers, et al.

) Customer No. 35489

**EXPRESS MAIL LABEL NO. EV 582 624 026 US**

Date Mailed:

**STATEMENT OF CURRENTLY NAMED INVENTORS**  
**UNDER 37 C.F.R. § 1.324(b)(2)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

We, Luc Desnoyers, Audrey Goddard, Paul J. Godowski, Austin Gurney and William I. Wood, the currently named joint inventors in the above-identified patent, hereby state that we agree to the addition of Ellen Filvaroff as an inventor, as requested in a petition to correct inventorship pursuant to 35 U.S.C. § 256.

Respectfully submitted,

\_\_\_\_\_  
**Luc Desnoyers, Ph.D.**

\_\_\_\_\_  
Date

*Audrey Goddard*  
\_\_\_\_\_  
**Audrey Goddard, Ph.D.**

*4/20/06*  
\_\_\_\_\_  
Date

\_\_\_\_\_  
**Paul J. Godowski, Ph.D.**

\_\_\_\_\_  
Date

\_\_\_\_\_  
**Austin Gurney, Ph.D.**

\_\_\_\_\_  
Date

\_\_\_\_\_  
**William I. Wood, Ph.D.**

\_\_\_\_\_  
Date





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent No.: 6,956,108 ) Examiner: Jiang, Dong  
Issued: October 18, 2005 ) Art Unit: 1646  
Title: **PRO1184 ANTIBODIES** ) Attorney's Docket No. 39780-2730P1C20  
Inventors: Desnoyers, et al. ) **Customer No. 35489**

EXPRESS MAIL LABEL NO. EV 582 624 026 US

Date Mailed:

**STATEMENT OF CURRENTLY NAMED INVENTORS**  
**UNDER 37 C.F.R. § 1.324(b)(2)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

We, Luc Desnoyers, Audrey Goddard, Paul J. Godowski, Austin Gurney and William I. Wood, the currently named joint inventors in the above-identified patent, hereby state that we agree to the addition of Ellen Filvaroff as an inventor, as requested in a petition to correct inventorship pursuant to 35 U.S.C. § 256.

Respectfully submitted,

\_\_\_\_\_  
**Luc Desnoyers, Ph.D.**

\_\_\_\_\_  
Date

\_\_\_\_\_  
**Audrey Goddard, Ph.D.**

\_\_\_\_\_  
Date

*Paul J. Godowski*  
\_\_\_\_\_  
**Paul J. Godowski, Ph.D.**

*4/11/06*  
\_\_\_\_\_  
Date

\_\_\_\_\_  
**Austin Gurney, Ph.D.**

\_\_\_\_\_  
Date

\_\_\_\_\_  
**William I. Wood, Ph.D.**

\_\_\_\_\_  
Date

SV 2197221 v1  
4/4/06 3:48 PM (39780.2730)

Statement Under 37 C.F.R. §1.324(b)(2)  
Patent No. 6,956,108  
Attorney's Docket No. 39780-2730P1C20



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent No.: 6,956,108 ) Examiner: Jiang, Dong  
Issued: October 18, 2005 ) Art Unit: 1646  
Title: **PRO1184 ANTIBODIES** ) Attorney's Docket No. 39780-2730P1C20  
Inventors: Desnoyers, et al. ) Customer No. 35489

EXPRESS MAIL LABEL NO. EV 582 624 026 US

Date Mailed:

**STATEMENT OF CURRENTLY NAMED INVENTORS**  
**UNDER 37 C.F.R. § 1.324(b)(2)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

We, Luc Desnoyers, Audrey Goddard, Paul J. Godowski, Austin Gurney and William I. Wood, the currently named joint inventors in the above-identified patent, hereby state that we agree to the addition of Ellen Filvaroff as an inventor, as requested in a petition to correct inventorship pursuant to 35 U.S.C. § 256.

Respectfully submitted,

\_\_\_\_\_  
Luc Desnoyers, Ph.D.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Audrey Goddard, Ph.D.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Paul J. Godowski, Ph.D.

\_\_\_\_\_  
Date

  
Austin Gurney, Ph.D.

  
Date 9/17/06

\_\_\_\_\_  
William I. Wood, Ph.D.

\_\_\_\_\_  
Date

SV 2197221 v1  
4/4/06 3:48 PM (39780.2730)

Statement Under 37 C.F.R. §1.324(b)(2)  
Patent No. 6,956,108  
Attorney's Docket No. 39780-2730P1C20



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent No.: 6,956,108

) Examiner: Jiang, Dong

Issued: October 18, 2005

) Art Unit: 1646

Title: **PRO1184 ANTIBODIES**

) Attorney's Docket No. 39780-2730P1C20

Inventors: Desnoyers, et al.

) Customer No. 35489

**EXPRESS MAIL LABEL NO. EV 582 624 026 US**

Date Mailed:

**STATEMENT OF CURRENTLY NAMED INVENTORS**  
**UNDER 37 C.F.R. § 1.324(b)(2)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

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Respectfully submitted,

\_\_\_\_\_  
**Luc Desnoyers, Ph.D.**

\_\_\_\_\_  
Date

\_\_\_\_\_  
**Audrey Goddard, Ph.D.**

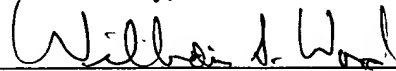
\_\_\_\_\_  
Date

\_\_\_\_\_  
**Paul J. Godowski, Ph.D.**

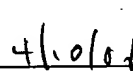
\_\_\_\_\_  
Date

\_\_\_\_\_  
**Austin Gurney, Ph.D.**

\_\_\_\_\_  
Date



\_\_\_\_\_  
**William I. Wood, Ph.D.**



\_\_\_\_\_  
Date